

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
Hartford-7

In Re Application Of: **Reiss**

Serial No.
10/066,120

Filing Date
1/31/02

Examiner

Group Art Unit
2164

Invention:

METHOD AND SYSTEM FOR RECONCILING EQUITY HEDGE FUNDS



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
3/27/02
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Formal drawings (7 sheets);
postcard**

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METHOD AND SYSTEM FOR RECONCILING EQUITY HEDGE FUNDSTO THE ASSISTANT COMMISSIONER FOR PATENTS:Box Missing Parts☐ Completion of application fees as calculated below:☐ Utility application filing fee _____☐ Design application filing fee _____☐ Total number of independent claims = _____☐ Total number of claims = _____☐ Multiple dependent claims _____☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath _____☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____☐ Fee for processing an application filed with a non-English language specification _____☐ Fee for processing and retention of application _____

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____
Date

until: _____
Date

Total time extension fees _____

Total fees due _____

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METHOD AND SYSTEM FOR RECONCILING EQUITY HEDGE FUNDS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of _____ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1679
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-1679
A duplicate copy of this sheet is enclosed.

Signature

**Arthur L. Plevy
Reg. No. 24,277
Duane Morris LLP
100 College Road West, Suite 100
Princeton, NJ 08540
609-919-4402**

Dated: **5/28/02**

I certify that this document and fee is being deposited on **5/28/02** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Susan Barlett

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D C 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,120	01/31/2002	Paul L. Reiss	Hartford-7

28581
DUANE MORRIS LLP
100 COLLEGE ROAD WEST, SUITE 100
PRINCETON, NJ 08540-6604

CONFIRMATION NO. 3332

FORMALITIES LETTER



OC000000007728003

Date Mailed: 03/27/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE